

## SUBCHAPTER A—GENERAL

### PART 4000—FINDING AIDS

**Sec.**

4000.1 Distribution table.  
4000.2 Derivation table.

AUTHORITY: 29 U.S.C. 1302(b)(3).

**§ 4000.1 Distribution table.**

The following table shows where in chapter XL of 29 CFR to find regulations previously codified in chapter XXVI.

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[61 FR 34007, July 1, 1996; 61 FR 67943, Dec. 26, 1996, as amended at 62 FR 67728, Dec. 30, 1997]	
<b>§ 4000.2 Derivation table.</b>	
The following table shows where in previous chapter XXVI of 29 CFR to find regulations now codified in chapter XL.	
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[61 FR 34007, July 1, 1996; 61 FR 67943, Dec. 26, 1996, as amended at 62 FR 36994, July 10, 1997; 62 FR 67728, Dec. 30, 1997]

**PART 4001—TERMINOLOGY**

Sec.

4001.1 Purpose and scope.

4001.2 Definitions.

4001.3 Trades or businesses under common control; controlled groups.

AUTHORITY: 29 U.S.C. 1301, 1302(b)(3).

SOURCE: 61 FR 34010, July 1, 1996, unless otherwise noted.

**§ 4001.1 Purpose and scope.**

This part contains definitions of certain terms used in this chapter and the regulations under which the PBGC makes various controlled group determinations.

**§ 4001.2 Definitions.**

For purposes of this chapter (unless otherwise indicated or required by the context):

*Affected party* means, with respect to a plan—

(1) Each participant in the plan;  
(2) Each beneficiary of a deceased participant;

(3) Each alternate payee under an applicable qualified domestic relations order, as defined in section 206(d)(3) of ERISA;

(4) Each employee organization that currently represents any group of participants;

(5) For any group of participants not currently represented by an employee organization, the employee organization, if any, that last represented such group of participants within the 5-year period preceding issuance of the notice of intent to terminate; and

(6) The PBGC.

If an affected party has designated, in writing, a person to receive a notice on